

11/29/00  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</i>	Attorney Docket No.
	First Inventor or Application Identifier <b>IAABE</b>
	Title <b>Anti-S-phase tubulin ligands</b>
	Express Mail Label No.

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification [Total Pages <b>19</b> ] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>11</b> ] 4. Oath or Declaration [Total Pages <b>30</b> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input checked="" type="checkbox"/> Statement filed in prior application, Status still proper and desired <i>(PTO/SB/09-12)</i> 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 15. <input type="checkbox"/> Other:

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
 Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_  
**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Name (Print/Type)	Ashley S. Davis Ph.D.	Registration No. (Attorney/Agent)	
Signature	<i>A. Davis</i>	Date	11-28-00

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2000</h3> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>	<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td></td></tr> <tr><td>Filing Date</td><td>11-28-00</td></tr> <tr><td>First Named Inventor</td><td>Ashley Davis</td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Group / Art Unit</td><td></td></tr> <tr><td>Attorney Docket No.</td><td></td></tr> </table>	Application Number		Filing Date	11-28-00	First Named Inventor	Ashley Davis	Examiner Name		Group / Art Unit		Attorney Docket No.	
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METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																						
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input style="width: 150px;" type="text"/></p> <p>Deposit Account Name <input style="width: 150px;" type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>430</td><td>243 215</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (3) (\$)</td> <td style="text-align: center;">0</td> </tr> </tbody> </table>	Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	105	130	205 65	Surcharge - late filing fee or oath		127	50	227 25	Surcharge - late provisional filing fee or cover sheet.		139	130	139 130	Non-English specification		147	2,520	147 2,520	For filing a request for reexamination		112	920*	112 920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115	110	215 55	Extension for reply within first month		116	380	216 190	Extension for reply within second month		117	870	217 435	Extension for reply within third month		118	1,360	218 680	Extension for reply within fourth month		128	1,850	228 925	Extension for reply within fifth month		119	300	219 150	Notice of Appeal		120	300	220 150	Filing a brief in support of an appeal		121	260	221 130	Request for oral hearing		138	1,510	138 1,510	Petition to institute a public use proceeding		140	110	240 55	Petition to revive - unavoidable		141	1,210	241 605	Petition to revive - unintentional		142	1,210	242 605	Utility issue fee (or reissue)		143	430	243 215	Design issue fee		144	580	244 290	Plant issue fee		122	130	122 130	Petitions to the Commissioner		123	50	123 50	Petitions related to provisional applications		126	240	126 240	Submission of Information Disclosure Stmt		581	40	581 40	Recording each patent assignment per property (times number of properties)		146	690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		149	690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____					Other fee (specify) _____					SUBTOTAL (3) (\$)				0
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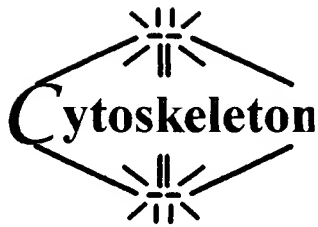
SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Ashley Davis	Registration No. (Attorney/Agent)	Telephone 303-322-2254
Signature		Date	11-28-00

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November 28, 2000

Commissioner of Patents  
USPTO  
Patent Applications  
Washington DC 20231

Dear Commissioner of Patents:

Please find enclosed one original and one copy of the utility patent entitled: Anti-S-Phase Tubulin Ligands. Also there is a check for small entity application fees (\$384), and two postcards for confirming receipt and establishing a priority date and an application number. Thank you.

Yours sincerely,

Ashley Davis Ph.D.  
Vice-President

*When quality counts. Give us a call.*